

09/941, 877-

Attorney Docket: RPS920010122US1 / 2255P



CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Post Issue, Attn: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 19, 2006.

Kym Moore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the name of:

Date: April 19, 2006

Cato et al.

Patent No.: 6,999,589 B2

Issued: February 14, 2006

Title: METHOD AND SYSTEM FOR AUTOMATIC BROKERED TRANSACTIONS

Mail Stop Post Issue  
Attn: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*Certificate  
APR 27 2006  
of Correction*

REQUEST FOR CERTIFICATE OF CORRECTION  
UNDER 37 C.F.R. § 1.322

Sir:

Enclosed hereto, in duplicate, is a Certificate of Correction (Form PTO-1050), with at least one copy being suitable for printing for the above-referenced patent.

Because the errors are mistakes of both the Patent Office and Applicants, a check in the amount of \$100.00 is enclosed. It is noted that an error appears in this patent of a clerical nature or character, as more fully described below. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination.

Acceptance of this Certificate of Correction is respectfully requested.

Please send the Certificate to:

Sawyer Law Group LLP  
P.O. Box 51418  
Palo Alto, CA 94303

Respectfully submitted,  
SAWYER LAW GROUP LLP

Joseph A. Sawyer, Jr.  
Attorney for Applicants  
Reg. No.: 30,801  
(650) 493-4540

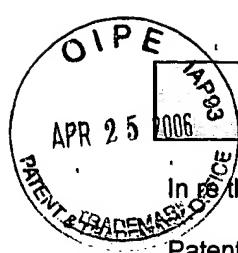
April 19, 2006  
Date

04/26/2006 RMEBRAH1 00000025 6999589

01 FC:1811

100.00 OP

*MAY 01 2006*



## TRANSMITTAL FORM

Attorney Docket No.  
RPS920010122US1 / 2255P

In re the application of: Cato et al.

Confirmation No: 7822

Patent No: 6,999,589 B2

Group Art Unit: 2134

Issue Date: February 14, 2006

Examiner: Thomas M. Ho

For: Method and System for Automatic Brokered Transactions

## ENCLOSURES (check all that apply)

<input type="checkbox"/>	Amendment/Reply	<input type="checkbox"/>	Assignment and Recordation Cover Sheet	<input type="checkbox"/>	After Allowance Communication to Group	
	<input type="checkbox"/> After Final	<input type="checkbox"/>	Part B-Issue Fee Transmittal	<input type="checkbox"/>	Notice of Appeal	
<input type="checkbox"/>	Information disclosure statement	<input type="checkbox"/>	Letter to Draftsman	<input type="checkbox"/>	Appeal Brief	
	<input type="checkbox"/> Form 1449	<input type="checkbox"/>	Drawings	<input type="checkbox"/>	Status Letter	
	<input type="checkbox"/> (X) Copies of References	<input type="checkbox"/>	Petition	<input checked="" type="checkbox"/>	Postcard	
<input type="checkbox"/>	Extension of Time Request *	<input type="checkbox"/>	Fee Address Indication Form	<input checked="" type="checkbox"/>	Other Enclosure(s) (please identify below):	
<input type="checkbox"/>	Express Abandonment	<input type="checkbox"/>	Terminal Disclaimer		Request for Certificate of Correction	
<input type="checkbox"/>	Certified Copy of Priority Doc	<input type="checkbox"/>	Power of Attorney and Revocation of Prior Powers		Form PTO-1050 Certificate of Correction	
<input type="checkbox"/>	Response to Incomplete Appln	<input type="checkbox"/>	Change of Correspondence Address			
<input type="checkbox"/>	Response to Missing Parts	*Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the Commissioner to extend the time for response for xxxxx month(s), from to .				
	<input type="checkbox"/> Executed Declaration by Inventor(s)					

## CLAIMS

FOR	Claims Remaining After Amendment	Highest # of Claims Previously Paid For	Extra Claims	RATE	FEE
Total Claims	0	0	0	\$ 50.00	\$ 0.00
Independent Claims	0	0	0	\$200.00	\$ 0.00
				Total Fees	\$ 0.00

## METHOD OF PAYMENT

<input checked="" type="checkbox"/>	Check no. <u>10219</u> in the amount of \$ <u>100.00</u> is enclosed for payment of fees.
<input type="checkbox"/>	Charge \$ _____ to Deposit Account No. _____ (Account Holder Name) for payment of fees.
<input checked="" type="checkbox"/>	Charge any additional fees or credit any overpayment to Deposit Account No. <u>50-0563</u> (IBM Corporation)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Attorney Name	Joseph A. Sawyer, Jr., Reg. No. 30,801
Signature	
Date	April 19, 2006

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Post Issue, Attn: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 4/19/2006.

Type or printed name	Kym Moore
Signature	

MAY 1 2006

PTO/SB/44 (04-04)

Approved for use through 04/30/2007. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,999,589 *B2*

ISSUED : February 14, 2006

INVENTOR(S) : CATO et al.

It is certified that error appears in the above-identified patent and that said Letters Patent  
is hereby corrected as shown below:

### Column 12

line 39, delete "users" and replace with --user--.

### Formal Replacement Drawings

Figures 3 & 3A

MAILING ADDRESS OF SENDER:

PATENT NO.: 6,999,589

Sawyer Law Group LLP  
P.O. Box 51418  
Palo Alto, CA 94303

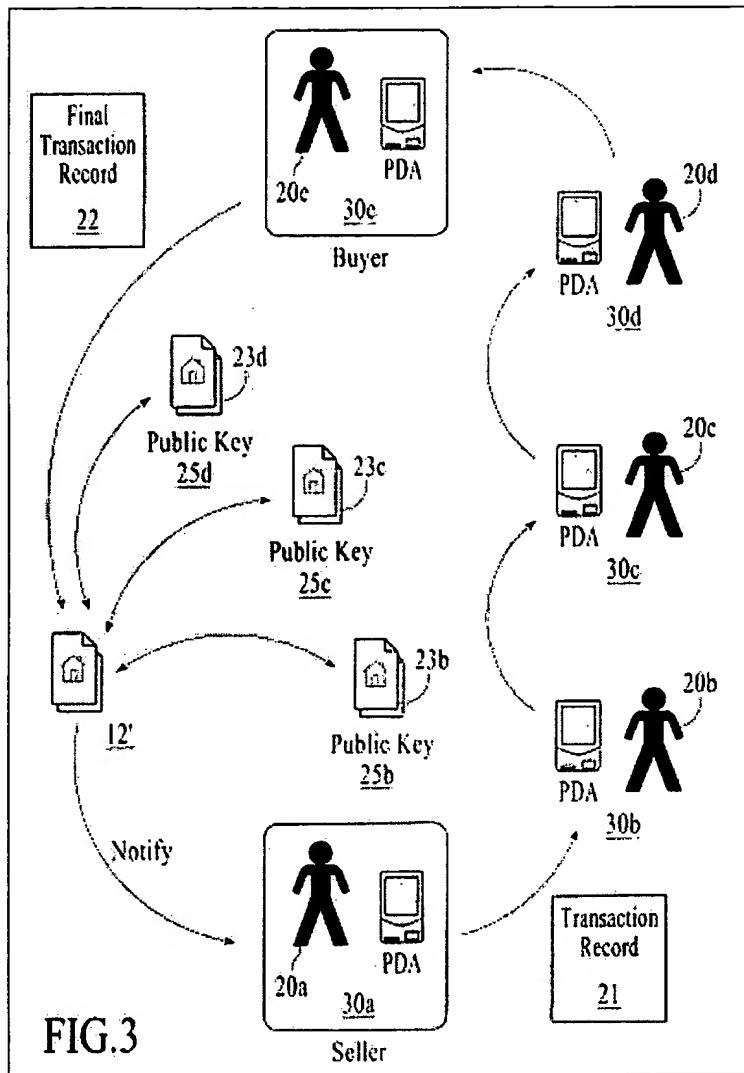


This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application for to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Post Issue, Attn: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2*

MAY 01 2006

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION



MAILING ADDRESS OF SENDER:

PATENT NO.: 6,999,589 B2

Sawyer Law Group LLP  
P.O. Box 51418  
Palo Alto, CA 94303

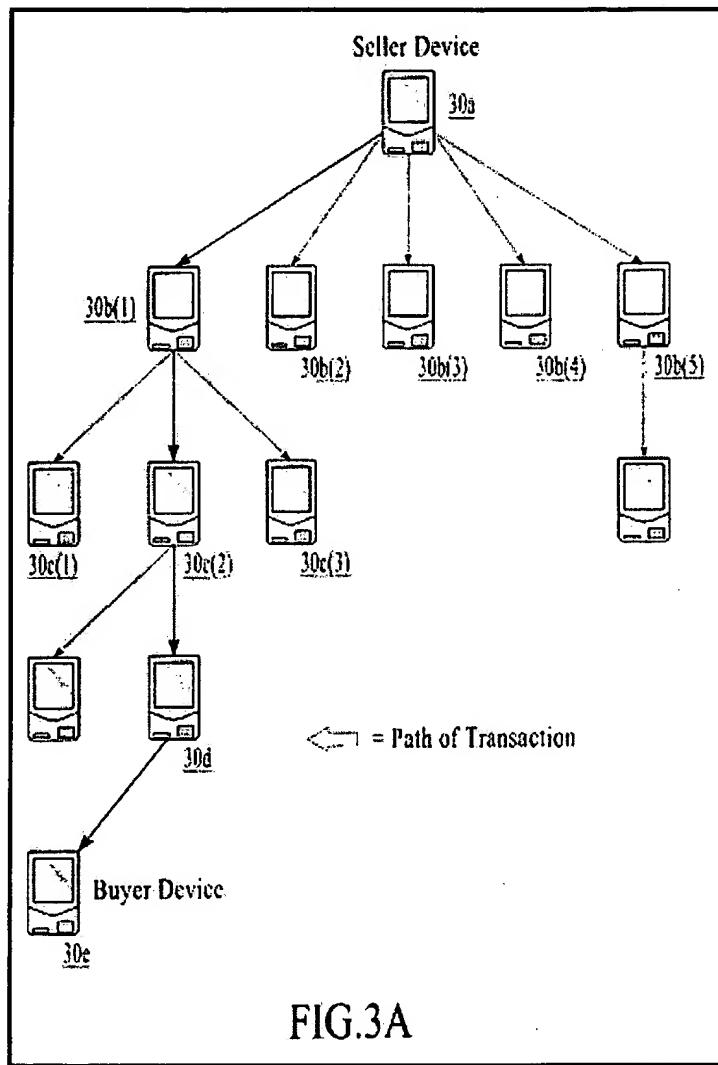


This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application for to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Post Issue, Attn: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2*

**MAY 01 2006**

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION



MAILING ADDRESS OF SENDER:

PATENT NO.: 6,999,589 B2

Sawyer Law Group LLP  
 P.O. Box 51418  
 Palo Alto, CA 94303



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application for to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Post Issue, Attn: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

MAY 01 2006